

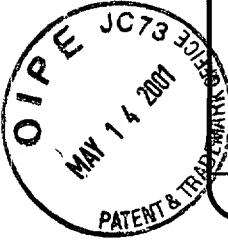
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paper #3

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

1

of

2

Complete if Known

Application Number	09/685,284
Filing Date	October 10, 2000
First Named Inventor	Lumpkin et al.
Group Art Unit	3613
Examiner Name	Jones, J.
Attorney Docket Number	AVID.13-2

U.S. PATENT DOCUMENTS

Examiner Initials ¹	Cite No ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
DR	AA	3,989,124		Fujii	11/02/76	
DR	AB	5,193,833		Reisinger	03/16/93	
DR	AC	5,529,150		Buckley et al.	06/25/96	
DR	AD	5,624,334		Lumpkin	04/29/97	
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Examiner
Signature

Devon Thamer

Date
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8-15-01

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST 3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached

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Substitute for form 1449B/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Application Number	09/685,284
Sheet	2	Filing Date	October 10, 2000
of	2	First Named Inventor	Lumpkin et al.
		Group Art Unit	3613
		Examiner Name	Jones, J.
		Attorney Docket Number	AVID.13-2

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
DR		Quality Bicycle Products Catalog, "Derailleurs", Viking Press, 3 pages, (1996).	
DR		Quality Bicycle Products Catalog, "Altec Shark Fin Lever" Viking Press, 4 pages, (1996).	

Examiner Signature	Devon Thomas	Date Considered	9-5-01
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Substitute for form 1449A/PTO

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